

# A Mathematical Model for Evaluating the Perceptual Quality of Video

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**Abstract.** In this paper, a simple mathematical formula is proposed which provides estimation for the perceived video quality, based solely in the codec used, the display format, the bit rate and the movement content in the original video. The quality metric used is one of the recently standardized in Recommendations ITU-T J.144 and ITU-R BT.1683, and developed by NTIA. The error obtained with the proposed formula, regarding to the ITU models, is between the ITU algorithms error margins, according to the subjective tests developed by the VQEG. Studies were made for more than 1500 processed video clips, coded in MPEG-2 and H.264/AVC, in bit rate ranges from 50 kb/s to 12 Mb/s, in SD, VGA, CIF and QCIF display formats.

**Keywords:** Video perceptual quality, Video codecs, Video signal processing.

## 1 Introduction

This paper presents a simple formula for estimating the perceptual quality of video encoded in MPEG-2 [1] and H.264 [2], in function of the bit rate.

The main objective is to find a simple mathematical model that allows predicting, within certain error margins, the perceived quality of video encoded with different codecs (MPEG-2 and H.264/AVC) at different bit rates, and in different display formats, including SD (Standard Definition,  $720 \times 576$  pixels), VGA (Video Graphics Array,  $640 \times 480$  pixels), CIF (Common Intermediate Format,  $352 \times 288$  pixels) and QCIF (Quarter Common Intermediate Format,  $176 \times 144$  pixels).

MPEG-2 is widely used in commercial applications for digital TV distribution. It is also used to encode movies and other programs that are distributed on DVD. For these reasons, most of the digital video receivers support it. H.264/AVC is the natural successor to MPEG-2. There is now a very high interest in this new codec, promising better quality at lower bit rates [3].

Several comparisons and characterizations of these codecs have been made, contrasting the image quality obtained regarding to the original image (full reference models), or predicting the image quality based only in the degraded image (no reference models) [4][5][6][7][8][9]. However, most of these comparisons have been

made using non-perceptual metrics such as PSNR (Peak Signal to Noise Ratio). It is accepted that such quality measures does not match the "perceived" quality [10].

Recently, based on VQEG (Video Quality Expert Group) work, ITU (International Telecommunication Union) has standardized the recommendations ITU-T J.144 [11] and ITU-R BT.1683 [12] for estimation of the perceived video quality in digital TV applications when the original signal reference is available (Full Reference models). Also, the standardization for the estimation of the perceived video quality in multimedia applications is in process, based on the VQEG Multimedia Reports [13].

This paper presents a MPEG-2 and H.264 codec characterization, using one of the standardized algorithms in the recommendation ITU-T J.144, and developed by the NTIA (National Telecommunications and Information Administration) [14], based on the evaluation of an important number of video clips in bit rate ranges from 50 kb/s to 12 Mb/s. Sixteen video sources were used, coded in 96 different formats, varying the codec (MPEG-2 and H.264), the bit rate and the display format. In total more than 1500 processed video sequences were analyzed to derive the proposed formula.

As part of this work, the perceived quality performance of the H.264 codec was compared against MPEG-2, using one of the ITU standardized perceptual methods.

Based on the analysis, a simple mathematical formula is proposed, which provides an estimation for the perceived quality, based solely in the codec used, the display format, the bit rate and the movement content in the original video. No comparison to the degraded signal is needed in the proposed method.

Many MPEG-2 encoders use the TM5 (Test Model 5) rate control algorithm, which does not take into account any perceptual standardized model. Other encoders use complex algorithms, generally based on non-perceptual models [15][16]. With the proposed method, new rate control algorithms can be developed, based on standardized perceptual quality models, with very simple processing requirements.

Using this simple method has two main advantages. First, the perceived quality can be easily estimated by the encoder, allowing to dynamically change the bit rate in order to adjust the perceived quality, depending on the video content, without any feedback from the receiver. Second, it serves as a basis for future studies. The estimated perceived quality sets a maximum threshold of quality, achievable only if there are no other distortions to the signal. Any other distortion (e.g. packet loss in IP transmission) will result in further degradation in the perceived quality.

The paper is organized as follows: Section 2 describes a summary of the perceived quality estimation models standardized in recommendation ITU-T J.144. Section 3 details the procedures employed to generate the processed video clips. Section 4 discusses how the perceived quality of video clips encoded with MPEG-2 and H.264 varies depending on the display format, the bit rate and the movement content, and presents a formula for the quality estimation. An H.264 to MPEG-2 performance evaluation is presented in this section. Section 5 summarizes the main contributions.

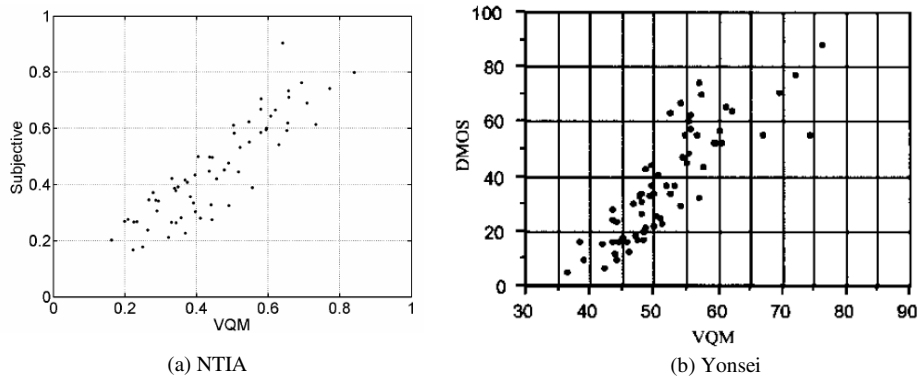
## 2 Standardized Perceptual Video Models

The Recommendation ITU-T J.144 is based on the work performed by the VQEG between the years 1997 to 2003, related with the systematic and objective comparison of different perceptual video quality estimation models and algorithms for digital

TV applications in SD (Standard Definition), when the original reference signal is available. The models proposed in the Recommendations perform quality comparisons between the “degraded” signal and the “original” signal, and are called FR (Full Reference) models. The final results were published in August 2003 by the VQEG [17]. Based on these results, ITU has standardized in the Recommendations ITU-T J.144 and ITU-R BT.1683 the best algorithms, which has been proved to be statistically equivalent between them. Among the standardized algorithms are the proposed by the NTIA [14] from U.S.A., the Yonsei University from Korea [18], the Telecommunications Research and Development Center (CPqD) from Brazil [19] and the British Telecom (BFTR) from England. All these algorithms are statistically equivalent between them, but none is statistically equivalent to the “perfect model” (the one who is statistically equivalent to the subjective test results).

For each video clip pairs (original and degraded), the algorithms provide a value between 0 and 1 (0 when there are no perceived differences and 1 for maximum degradation). Multiplying this value by 100 a metric is obtained which corresponds to the DSCQS (Double Stimulus Continuous Quality Scale) [20] and can be directly related to the DMOS (Difference Mean Opinion Scores).

Figure 1.a (extracted from [14]) shows the correlation between the DMOS obtained with the NTIA algorithm and the subjective tests. Figure 1.b (extracted from [18]) shows the same correlation with the Yonsei algorithms. As can be seen, there is some dispersion between the algorithm results and the subjective tests. This dispersion can be estimated in  $\pm 0.1$  in the 0-1 scale. This means that the order of magnitude of the standardized algorithm error is 0.1 in a DMOS scale from 0 to 1.



**Fig. 1.** Subjective quality with respect to estimated quality. a. In NTIA model, for 625 line clips. b. In Yonsei model, for 625 line clips.

### 3 Video Clips Generation

The video clips detailed in Table 1, available in the VQEG web page [21], were used in this paper. Two sets of video clips were generated, one coded in MPEG-2 and other in H.264, in bit rates range from 50 kb/s to 12 Mb/s. All other coding parameters were fixed, in the values showed in Table 2.

**Table 1.** Source video clips used

Source	Name	Source	Name
src 2	Barcelona	src 14	New York 2
src 3	Harp	src 16	Betes_pas_betes
src 4	Moving graphic	src 17	Le_point
src 5	Canoa Valsesia	src 18	Autums_leaves
src 7	Fries	src 19	Football
src 9	Rugby	src 20	Sailboat
src 10	Mobile&Calendar	src 21	Susie
src 13	Baloon-pops	src 22	Tempete

**Table 2.** MPEG-2 and H.264 coding parameters

MPEG-2	H.264
Profile/Level: MP@ML	Profile/Level: High/3.2
Max GOP size: 15	Max GOP size: 33
GOP Structure: Automatic	Number of B Pictures between I and P: 2
Picture Structure: Always Frame	Entropy Coding: CABAC
Intra DC Precision: 9	Motion Estimated Subpixel mode: Quarter Pixel
Bit rate type: CBR (Constant Bit rate)	Bit rate type: CBR (Constant Bit rate)
Interlacing: Non-Interlaced	Interlacing: Non-Interlaced
Frame Rate: 25 fps	Frame Rate: 25 fps

The original and the coded video clips were converted to non-compressed AVI format in order to be compared. The comparison was made using the NTIA algorithms available in [22]. Each one of the 16 source video clips listed in Table 1 was coded in 96 different formats, varying the codec used (MPEG-2 and H.264), the bit rate and the display format. In total more than 1500 processed video sequences were analyzed and used to derive the proposed method.

#### 4 Perceived Quality as a Function of the Bit Rate

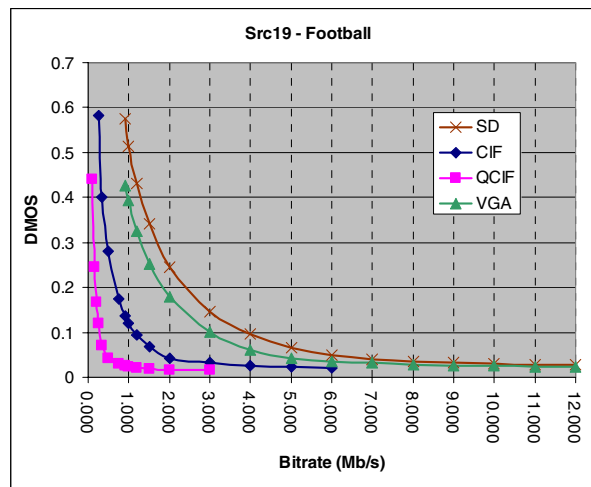
The NTIA algorithm returns values between 0 (no perceived difference between the clips) and 1 (maximum perceived degradation). Multiplying this value by 100 a metric is obtained which can be directly related to the DMOS. The interpretation of the values is presented in Table 3.

**Table 3.** DMOS to perceived quality relation

Value	Quality
0-19	Excellent
20-39	Good
40-59	Fair
60-79	Poor
80-100	Bad

Figure 2 shows how the perceived quality varies (measured as DMOS, normalized in the range 0-1) as a function of the bit rate, keeping constant all other coding parameters, for the clip “Football” (src 19), coded in MPEG-2. The figure shows the typical behavior for any video clip:

- The perceived quality is higher (the DMOS is lower) for higher bit rates.
- For the same quality (DMOS), higher bit rates are needed for bigger displays.

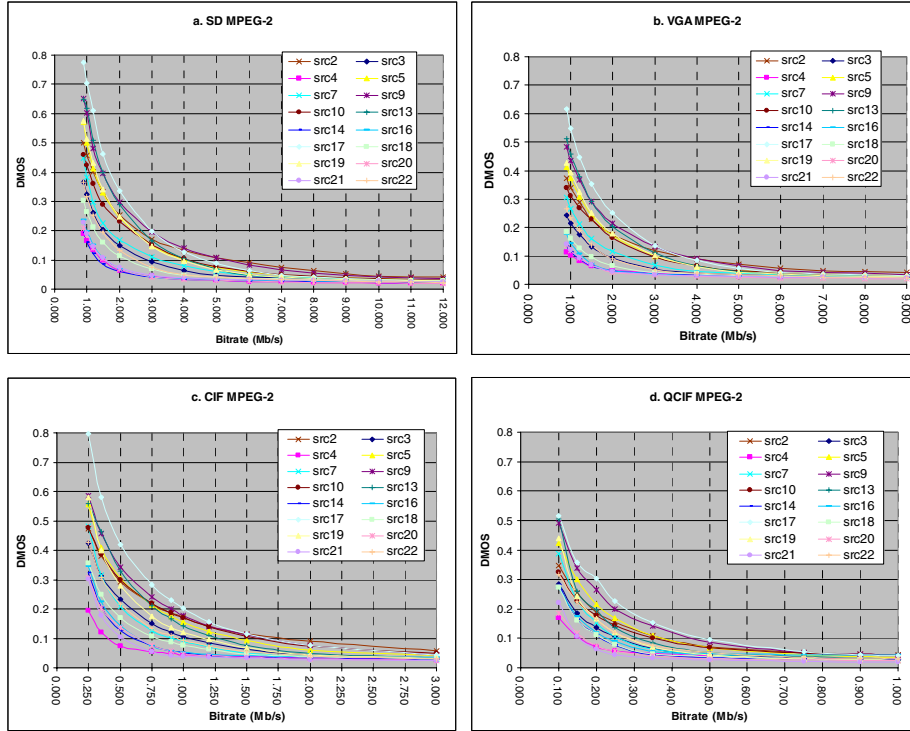


**Fig. 2.** Perceived quality (DMOS using one of the ITU-T J.144 models) for the clip “Football” coded in MPEG-2 as a function of the bit rate, for display formats SD, VGA, CIF and QCIF

Figure 3 shows the same behavior for all the clips of Table 1. The figure shows the perceived quality as a function of the bit rate for MPEG-2 in SD, VGA, CIF and QCIF display formats respectively.

As can be seen, all the clips have better perceived quality for higher bit rates, as can be expected. In MPEG-2, in SD, for bit rates higher than 6 Mb/s all the clips have an almost “perfect” perceived quality (DMOS less than 10). At 3 Mb/s practically all the clips are in the “Excellent” range. However for less than 3 Mb/s the perceived quality strongly depends upon the clip content. For example at 2.5 Mb/s, the DMOS varies between 5 (almost “perfect” quality) and 25 (“Good” quality) for different clips, and at 0.9 Mb/s the DMOS varies between 18 (“Excellent” quality) and 80 (“Bad” quality). Is common to use MPEG-2 at 3.8 Mb/s in SD IPTV commercial applications, where the perceptual quality is in the “Excellent” range for all video clips. However, at low bit rates there are high differences in the perceived quality for identical coding conditions, depending on video content. Similar considerations can be made for VGA, CIF and QCIF display formats.

It can be seen, that for a given clip, the curves for SD, VGA, CIF and QCIF are very similar, if the bit rate scale is “expanded” for the VGA, CIF and QCIF curves.



**Fig. 3.** Perceived quality (DMOS using one of the ITU-T J.144 models) for all the analyzed clips, coded in MPEG-2, as a function of the bit rate, for SD, VGA, CIF and QCIF

### 4.1 MPEG-2

The curves in Figure 3, for clips coded in MPEG-2, can be modeled by different type of relations between the DMOS and bit rate. The heuristic Equation (1) was the best fit, choosing appropriate values for the coefficients  $a$ ,  $m$  and  $n$ .

$$DMOS = \frac{m}{(a.bitrate)^n} \tag{1}$$

where  $bitrate$  is expressed in Mb/s and DMOS is the quality metric, with values between 0 and 1. The coefficient  $a$  is related to the display format (SD, VGA, CIF or QCIF), and can be defined arbitrarily equal to 1 for SD. Coefficients  $m$  and  $n$  are related to the curve shape.

The goal is to obtain the best values for  $a$ ,  $m$  and  $n$ , in order to minimize the errors between the perceived quality (DMOS) obtained using the standardized algorithms and the obtained using the proposed formula, for all the clips coded in MPEG-2, in all the display formats.

First, the best values for  $a$  can be obtained. For CIF, this value can be calculated as follows: For each DMOS, a value of  $a$  can be calculated as the ratio between the bit

rates of CIF and SD for this DMOS. For example, if DMOS=0.5 for 1 Mb/s in SD and for 0.28 Mb/s in CIF, then, in this case,  $a=1/0.28=3.57$  for CIF. The same procedure can be done for all the clips, and for many DMOS values. Then all the  $a$  values can be averaged, in order to obtain only one value for the display format. Similar calculations can be performed for VGA and QCIF.

Using this definition, the best values for the coefficient  $a$  are presented in Table 4.

**Table 4.** Best values for  $a$

Display format	Value for $a$
SD	1
VGA	1.4
CIF	3.2
QCIF	10.8

With this values for  $a$ , the best values form  $m$  and  $n$  can be obtained for each clip. Table 5 shows the values of  $m$  and  $n$  that best fits Equation (1) to each curve in Figure 3, as well as the MSE (Mean Square Error), sorted by  $m$ . Figure 4 shows the curves according to ITU Model and the estimated with (1) for the src 13, clip “Ballon-Pops” (for which the worst approximation is obtained). It can be seen that even in the worst case, the model presented in Equation (1) reproduce tightly the “actual” curves for the four display formats, with a mean square error of 0.0322.

**Table 5.**  $m$  and  $n$  values that best fits to the actual NTIA curves

Source	Name	Movement	Optimal $m$	Optimal $n$	MSE
Src 4	Moving graphic	Low	0.15	0.885	0.0122
src 20	Sailboat	Low	0.195	1.155	0.0151
Src 14	New York 2	Low	0.21	1.155	0.0244
src 21	Susie	Low	0.21	1.32	0.0140
Src 16	Betes_pas_betes	Low	0.225	1.065	0.0242
Src 18	Autums_leaves	Low	0.27	1.14	0.0119
Src 3	Harp	Medium	0.33	1.095	0.0117
src 22	Tempete	Medium	0.33	1.11	0.0118
Src 7	Fries	Medium	0.39	1.2	0.0090
Src 10	Mobile&Calendar	Medium	0.42	0.96	0.0176
Src 2	Barcelona	High	0.435	0.885	0.0165
Src 5	Canoa Valsesia	High	0.495	1.065	0.0130
src 19	Football	High	0.51	1.185	0.0192
Src 9	Rugby	High	0.555	0.99	0.0193
Src 13	Baloon-pops	High	0.57	1.14	0.0322
Src 17	Le_point	High	0.69	1.11	0.0285

Very similar behaviors can be seen for many clips. For example, clips for src 4, 14, 16, 18, 20 and 21 have practically identical behaviors in all formats. In a more general consideration, clips can be divided into 3 main groups. Table 5 shows a subjective estimation for the clip movement content, classified into “Low”, “Medium” and “High”. It can be seen a correlation between  $m$  and the movement content.

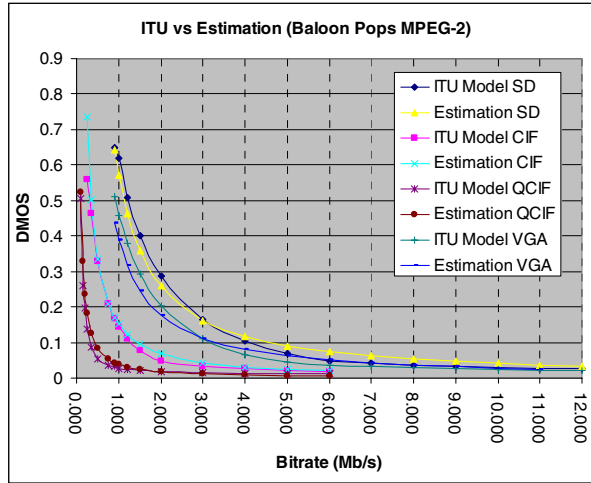


Fig. 4. Perceived quality calculated with NTIA model and with Equation (1), for the clip “Baloon-Pops”, in SD, VGA, CIF and QCIF formats

It is possible to find, using the same equation (1), the values of  $m$  and  $n$  that best fit to *all the curves in each group* (low, medium and high movement content). Table 6 shows the values for  $m$  and  $n$  that best fits to all the clips in each group. The maximum mean square error is 0.0396 (for the group “High Mov”).

Table 6.  $m$  and  $n$  values that best fits to each group for MPEG-2

Movement	Optimal m	Optimal n	MSE
Low Movement	0.21	1.125	0.0227
Medium Movement	0.36	1.065	0.0231
High Movement	0.54	1.065	0.0396

It is worth noting that subjective rating scales (shown in Table 3) have ranges of 0.2 (e.g. “Excellent” is from 0 to 0.19). On the other hand, the algorithms standardized by the ITU have errors in the order of +/- 0.1 regarding to measures of subjective quality. Errors of the proposed model in respect to the obtained with the algorithm of the NTIA have a maximum mean squared error of less than 0.04, which places it within the error margin of the ITU standardized algorithms. Based on these considerations, the mean square error obtained with the proposed formula for the three movement groups seems to be acceptable.

4.2 H.264

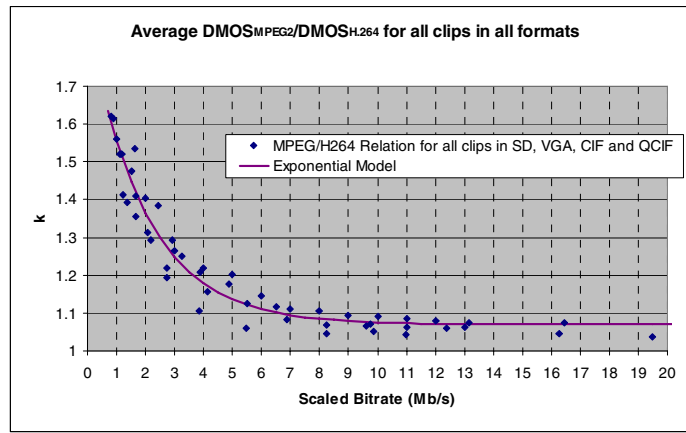
For each video clip listed in Table 1, and for each display format and bit rate, the perceptual quality was computed (according to the ITU model), for MPEG-2 and for H.264. For the same clip, at the same bit rate and display format, the relation between the perceptual quality for MPEG-2 and H.264 is the enhancement factor from one



codec to the other. We will call this enhancement factor  $k$ , using the definition presented in (2).

$$k = \frac{DMOS_{MPEG2}}{DMOS_{H.264}} \quad (2)$$

The value of  $k$  can be computed for all the encoded video clips, at different bit rates and in different formats. Figure 5 shows the relation between  $k$  and the “scaled” bit rate (i.e.  $a.bitrate$ ).



**Fig. 5.** Perceived quality relation between MPEG-2 and H.264 as a function of the scaled bit rate (i.e.,  $a.bitrate$ ), averaged for all the video clips in all display formats

This relation can be modeled with equation (3), proposing an exponential model.

$$k = l + d.e^{-b.a.bitrate} \quad (3)$$

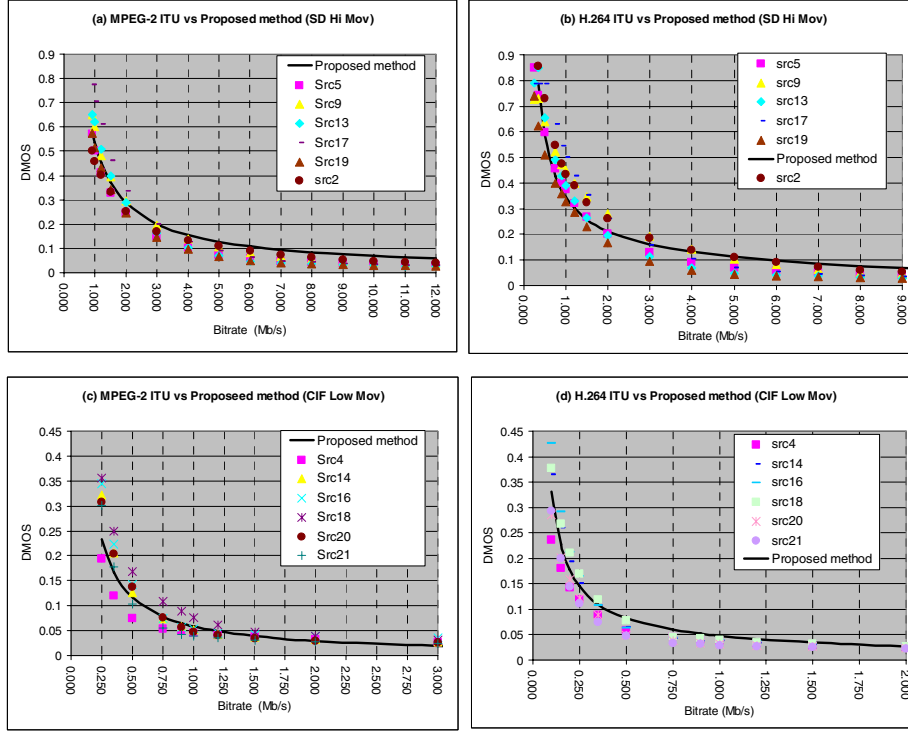
Where  $a$  depends on the display format as detailed in Table 4, and  $l$ ,  $d$  and  $b$  must be calculated in order best fit equation (3) to the actual values.

Using the source clips detailed in Table 1, coded in the different display formats and bit rates, the best values for  $l$ ,  $d$  and  $b$  were calculated, and are the following:

$$l = 1.07, \quad d = 0.80, \quad b = 0.50$$

For higher bit rates,  $k$  tends to 1.07, meaning that the H.264 codec is in average only 7% better than MPEG-2 for high bit rates. On the other hand, for low bit rates, H.264 is in average as much as 60% better than MPEG-2, regarding the perceptual quality obtained for the same bit rate.

The relation  $k$  can be incorporated in equation (1), to extend it to H.264. Equation (4) shows the proposed formula for estimating the perceptual video quality of a clip, for MPEG-2 and H.264, as a function of the bit rate, the display format and the movement content.



**Fig. 6.** Examples of perceived quality computed with ITU model and estimation using the proposed method

- a., c. High and low movement clips, coded in MPEG-2, in SD display format
- b., d. High and low movement clips, coded in H.264, in CIF display format

$$DMOS = \frac{m}{k.(a.bitrate)^n} \tag{4}$$

Where  $k$  depends on the codec, with the following values:

$$\begin{aligned}
 k &= 1 && \text{for MPEG-2} \\
 k &= l + d.e^{-b.a.bitrate} && \text{for H.264}
 \end{aligned}$$

The best values for  $m$  and  $n$  were re-calculated, in order to best fit equation (4) for all the video clips, coded in MPEG-2 and H.264, in SD, VGA, CIF and QCIF, and at different bit rates. The optimal values with the respective mean square errors are presented in Table 7. The worst mean square error for the proposed formula is 0.0616, computed for more than 1500 processed video clips used in the study. This value is lower than 0.1, which places it within the error margin of the ITU standardized algorithms. Based on these considerations, the mean square error obtained with the proposed formula is acceptable. As an example, Figure 6 shows the perceived quality for clips coded in MPEG-2 and in H.264, in SD and CIF display format, with high

and low movement content, and the curve derived from equation (4) using the values for  $a$ ,  $m$  and  $n$  detailed in Table 4 and 7 respectively.

**Table 7.**  $m$  and  $n$  values that best fits to each movement content for MPEG-2 and H.264

Source	Name	Optimal $m$	Optimal $n$	MSE
Low_mov	Low Movement	0.192	0.992	0.0264
med_mov	Medium Movement	0.368	0.956	0.0346
hi_mov	High Movement	0.536	0.894	0.0616

## 5 Conclusion

The MPEG-2 and H.264 codec performance has been studied, evaluating the perceived video quality as a function of the bit rate and the display format, maintaining all other coding parameters constant. The study has been made for SD, VGA, CIF and QCIF display formats in the range from 50 kb/s to 12 Mb/s.

The recommendation ITU-T J.144 has been used as the starting point and in particular the NTIA algorithms were used to estimate the subjective video quality. The results have shown that the perceived quality has a strong relation with the video clip content for low bit rates, in particular, with the movement content.

For each codec, display format and bit rate, the perceived quality can be estimated using a simple mathematical formula of the type  $m/[k.(a.bitrate)^n]$ , only knowing the movement content (classified in three levels: High, Medium or Low). The best values for  $a$ ,  $k$ ,  $m$  and  $n$  has been calculated, and are presented in the paper.

This approach offers a clear advantage for calculating the perceived quality, as it does not require simulations or complex software, nor to know the degraded and the original video reference. The perceived quality after the encoding can be directly estimated by a simple mathematical formula. The results have an acceptable error regarding to the standard ITU algorithms.

The proposed formula serves as an estimator for the maximum quality that can be obtained in MPEG-2 and H.264/AVC. This quality can only be achieved if there are no other degradations in the transmission (e.g. packet loss in IP networks).

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